



GOVERNMENT OF PUERTO RICO  
DEPARTMENT OF HOUSING

## AMENDMENT D

### COMMUNITY DEVELOPMENT BLOCK GRANT – DISASTER RECOVERY (CDBG-DR) MUNICIPAL RECOVERY PLANNING PROGRAM (MRP)

#### AMENDMENT D TO THE SUBRECIPIENT AGREEMENT

BETWEEN THE

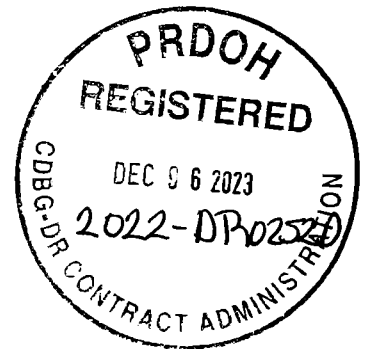
PUERTO RICO DEPARTMENT OF HOUSING

AND THE

MUNICIPALITY OF CAROLINA

Contract No. 2022-DR0252

Amendment No. 2022-DR0252D



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This **AMENDMENT D TO THE SUBRECIPIENT AGREEMENT ("AMENDMENT D")** is entered into this 5 day of December, 2023, by and between the **PUERTO RICO DEPARTMENT OF HOUSING ("PRDOH")**, a public agency of the Government of Puerto Rico created under Act No. 97, of June 10, 1972, as amended, 3 L.P.R.A. § 441 et seq., known as the "**Department of Housing Organic Act**" ("**Organic Act**"), with principal offices at 606 Barbosa Ave., San Juan, Puerto Rico, represented herein by its Secretary, Hon. William O. Rodríguez Rodríguez, of legal age, attorney, single, and resident of Guaynabo, Puerto Rico; and the **Municipality of Carolina ("Subrecipient")**, a Municipality with principal offices at Carolina, Puerto Rico, represented herein by its Mayor, José C. Aponte Dalmau, of legal age, married, and resident of Carolina, Puerto Rico; collectively the "**Parties**".

#### I. RECITALS AND GENERAL AWARD INFORMATION

**WHEREAS**, on January 20, 2022, the Parties executed a Subrecipient Agreement, Contract Number **2022-DR0252 ("Agreement")**, for **six hundred seventy thousand three hundred fifty-nine dollars (\$670,359.00)** for the Subrecipient to undertake its activities under the **Municipal Recovery Planning Program ("Program")**. The Parties agreed on a performance period of **twelve (12) months** from the date of the execution of the Agreement ending on **January 20, 2023**.

**WHEREAS**, on February 22, 2022, the Parties executed an **Amendment A** to the Subrecipient Agreement, Contract Number **2022-DR0252A ("Amendment A")**. Through this Amendment, a modified **Exhibit D** (Budget) was incorporated by reference into the Agreement. Moreover, the end term of the Agreement and the total authorized budget remained unaltered.

**WHEREAS**, on January 20, 2023, the Parties executed an **Amendment B** to the Subrecipient Agreement, Contract Number **2022-DR0252B ("Amendment B")**. The Parties agreed to amend the **END TERM** of the Agreement to **July 20, 2023**. Modifications to the **General Award Information** table in **Section I** of the Agreement and changes to **Section V. EFFECTIVE DATE AND TERM** of the Agreement were incorporated via **AMENDMENT B** to accommodate the aforementioned modification. Also, **Exhibit D** (Budget) and **Exhibit F** (HUD General Provisions and Other Federal Status, Regulations, PRDOH Requirements) were updated. A new **Exhibit I** (Non Conflict of Interest Certification) was incorporated via this **AMENDMENT B**. Furthermore, modifications to **Section II. ATTACHMENTS** and **Section X. COMPLIANCE WITH FEDERAL STATUTES, REGULATIONS AND THE TERMS AND CONDITIONS OF THE FEDERAL AWARD AND ADDITIONAL PRDOH REQUIREMENTS** of the Agreement were incorporated to address the introduction of the aforementioned new exhibit into the Agreement.

**WHEREAS**, on June 16, 2023, the Parties executed an **Amendment C** to the Subrecipient Agreement, Contract Number **2022-DR0252C ("Amendment C")**. The Parties agreed to amend the **END TERM** of the Agreement to **December 20, 2023**. Modifications to the

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**General Award Information** table in **Section I** of the Agreement and changes to **Section V. EFFECTIVE DATE AND TERM** of the Agreement were incorporated via **AMENDMENT C** to accommodate the aforementioned modification. Moreover, **Section XIII. FORCE MAJEURE** was amended. The total authorized budget remained unaltered.

**WHEREAS**, as per Section IX (A) of the Agreement, the Agreement may be amended in writing and signed by a duly authorized representative of each party. Nonetheless, the amendment shall not invalidate the Agreement, nor release the Parties from their obligations under the Agreement.

**WHEREAS**, this **AMENDMENT D** does not constitute an extinctive novation of the obligations of the Parties under the Agreement.

**WHEREAS**, the PRDOH has the legal power and authority, in accordance with its enabling statute, the Organic Act, the Federal laws and regulations creating and allocating funds to the CDBG-DR Program, and the current Action Plan, to enter into this **AMENDMENT D**.

**WHEREAS**, the Subrecipient has the legal power and authority to enter into this **AMENDMENT D** with the PRDOH, in accordance with Article 1.008(q) of Act No. 107 of August 14, 2020, also known as the "Puerto Rico Municipal Code", 21 L.P.R.A. § 7013(q); and by signing this **AMENDMENT D**, the Subrecipient assures the PRDOH that it shall comply with all the requirements described herein.

**NOW, THEREFORE**, in consideration of the mutual promises and the terms and conditions set forth herein, the PRDOH and the Subrecipient agree to execute this **AMENDMENT D** subject to the following:

## **II. TERMS AND CONDITIONS**

### **A. SAVINGS CLAUSE**

The information included in this **AMENDMENT D** serves the purpose of modifying and amending certain terms and conditions under the Agreement, as established in the following sections B and C of this **AMENDMENT D**. All other provisions of the original Agreement, including its exhibits, shall continue to be in full force and effect.

### **B. SCOPE OF THE AMENDMENT**

The goal of the Program is for the Municipalities to envision a potential future and develop a plan for recovery and resilience for all populations within their Municipality.

In order to achieve the Program goals, the Parties acknowledge and agree that it is necessary to extend the **PERIOD OF PERFORMANCE** and **END DATE** of the Agreement for an additional period of **three (3) months**, for a new performance period of **twenty-six (26) months** from the date of the execution of the Agreement, ending on **March 20, 2024**.

Modifications to the **General Award Information** table in **Section I** of the Agreement and changes to **Section V. EFFECTIVE DATE AND TERM** of the Agreement are being incorporated via this **AMENDMENT D** to accommodate the aforementioned term extension.

As stated before, all other provisions of the original Agreement, including the total authorized budget, remains unaltered.

### **C. AMENDMENTS**

- a. The Parties wish to amend the **GENERAL AWARD INFORMATION** table in **Section I** of the Agreement as follows:

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CDBG-DR Grantee Federal Award Identification Number:	B-17-DM-72-0001
CDBG-DR Grantee Federal Award Date:	September 20, 2018
CDBG-DR Grantee Unique Entity Identifier:	Unique Entity ID: FFNMUBT6WCM1
Federal Award project description:	See <b>Exhibit A</b> ("Scope of Work")
Subrecipient Contact Information:	José C. Aponte Dalmau Mayor Municipality of Carolina PO Box 8 Carolina, PR 00986
Subrecipient Unique Entity Identifier:	Unique Entity ID #: DE37L8G4Q8M7
Subaward Period of Performance:	Start Date: Effective Date, as defined in <b>Section V</b> of the Agreement, as amended. End Date: <b>March 20, 2024</b>
Funds Certification:	Dated: January 3, 2022 Authorized Amount: <b>\$670,359.00</b> Funds Allocation: CDBG-DR "r01p06mrp-doh-na" Account Number: 6090-01-000 See <b>Exhibit E</b> ("Funds Certification")

b. **Section V. EFFECTIVE DATE AND TERM** of the Agreement is being amended as follows:

*This Agreement shall be in effect and enforceable between the parties from the date of its execution. The performance period of this Agreement extends from the date of its execution, ending on **March 20, 2024**.*

*The MRP Program will occur as two (2) consecutive components to identify projects and actions necessary for holistic recovery from Hurricanes Irma and María: Individual Municipal Planning and Regional Municipal Planning. This Agreement only pertains to Individual Municipal Planning. This Individual Municipal Planning process will have a Pre-Program Activity Period which will last a maximum of six (6) months and will comprise activities depending on the procurement option chosen by the Subrecipient. These activities may include but are not limited to independent procurement, mobilization, staff buildup, and launch. Individual Municipal planning-specific activities must be submitted to PRDOH within six (6) months of the planning start date. After the six (6) month Individual Planning Activity period, PRDOH will conduct a final review of the Individual Municipal Plans. Hence, the entire Individual Municipal Planning process will end on **March 20, 2024**. More details may be found in Exhibit A ("Scope of Work") and Exhibit B ("Timelines and Performance Goals").*

*The End of Term shall be the later of (i) **March 20, 2024**; (ii) the date as of which the Parties agree in writing that all Close-Out Requirements<sup>1</sup> have been satisfied or, where no Close-Out Requirements apply to this Agreement, the date as of which the Parties agree in writing that no Close-Out Requirements are*

*applicable hereto; or (iii) such later date as the Parties may agree to in a signed amendment to this Agreement.*

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**III. SEVERABILITY**

If any provision of this **AMENDMENT D** is held invalid, the remainder of **AMENDMENT D** shall not be affected thereby, and all other parts of this **AMENDMENT D** shall nevertheless be in full force and effect.

**IV. SECTION HEADINGS AND SUBHEADINGS**

The section headings and subheadings contained in this **AMENDMENT D** are included for convenience only and shall not limit or otherwise affect the terms of the Agreement, and shall not be used to explain, modify, simplify, or aid in the interpretation of the provisions of this **AMENDMENT D**.

**V. COMPTROLLER REGISTRY**

The PRDOH shall remit a copy of this **AMENDMENT D** to the Office of the Comptroller for registration within **fifteen (15) days** following the date of execution of this **AMENDMENT D** and any subsequent amendment thereto. The services object of this **AMENDMENT D** may not be invoiced or paid until this **AMENDMENT D** has been registered by the PRDOH at the Comptroller's Office, under Act No. 18 of October 30, 1975, as amended by Act No. 127 of May 31, 2004.

**VI. ENTIRE AGREEMENT**

The Agreement, as amended, constitutes the entire agreement among the Parties for the use of funds received under the Agreement, as amended, and it supersedes all prior or contemporaneous communications and proposals, whether electronic, oral, or written among the Parties concerning the Agreement, as amended.

**VII. FEDERAL FUNDING**

The fulfillment of the Agreement, as amended, is based on those funds being made available to the PRDOH as the lead administrative agency for Recovery. All expenditures under the Agreement, as amended, must be made in accordance with the Agreement, as amended, the policies and procedures promulgated under the CDBG-DR Program, and any other applicable laws. Further, the Subrecipient acknowledges that all funds are subject to recapture and repayment for non-compliance.

**VIII. NON-CONFLICT OF INTEREST CERTIFICATION**

The Subrecipient reassures PRDOH its willingness to comply with the applicable conflict of interest policies by signing the Non-Conflict of Interest Certification incorporated by reference into the Agreement via this **AMENDMENT D**. (See Attachment I).

**[SIGNATURES ON THE FOLLOWING PAGE.]**

**IN WITNESS THEREOF**, the Parties hereto execute this **AMENDMENT D** in the place and on the date first above written.

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**PUERTO RICO DEPARTMENT OF HOUSING**  
**CDBG-DR Grantee**

By: William O. Rodríguez Rodríguez  
William O. Rodríguez Rodríguez (Dec 5, 2023 19:30 AST)  
Name: William O. Rodríguez Rodríguez  
Title: Secretary

**MUNICIPALITY OF CAROLINA**  
**Subrecipient**

By: JOSE C. APONTE  
JOSE C. APONTE (Nov 30, 2023 16:18 AST)  
Name: José C. Aponte Dalmau  
Title: Mayor of Carolina



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**EXHIBIT I**  
**NON-CONFLICT OF INTEREST CERTIFICATION**  
**MUNICIPAL RECOVERY PLANNING PROGRAM**  
**MUNICIPALITY OF CAROLINA**

The Subrecipient certifies that to the best of its knowledge:

1. No public servant of this executive agency has a pecuniary interest in this agreement, contract, purchase, or commercial transaction.
2. No public servant of this executive agency has requested me or accepted from me, directly or indirectly, for him (her), for any member of his family unit or for any person, gifts, bonuses, favors, services, donations, loans or anything else of monetary value.
3. No public servant (s) requested or accepted any good of economic value, linked to this transaction, from any person of my entity as payment for performing the duties and responsibilities of their employment.
4. No public servant has requested from me, directly or indirectly, for him (her), for any member of his or her family unit, or for any other person, business, or entity, something of economic value, including gifts, loans, promises, favors or services in exchange for the performance of said public servant is influenced in my favor or of my entity.
5. I have no kinship relationship, within the fourth degree of consanguinity and second of affinity, with any public servant who has the power to influence and participate in the institutional decisions of this executive agency.

"I hereby certify under penalty of perjury that the foregoing is complete, true, and correct."

JOSE C. APONTE  
JOSE C. APONTE (Nov 30, 2023 16:18 AST)  
Signature

José C. Aponte Dalmau  
Printed Name

11/30/23  
Date

Mayor  
Municipality of Carolina  
Position